

菲商菲律賓首都銀行在臺分行內部控制制度聲明書

Metropolitan Bank & Trust Company Taiwan Branch Statement of Internal Control

謹代表菲律賓首都銀行銀行在臺分行聲明本銀行於一〇八年一月一日至一〇八年十二月三十一日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」、「外國銀行在臺分行適用金融控股公司及銀行業內部控制及稽核制度實施辦法」說明對照表建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及審計委員會。經審慎評估，本年度各單位內部控制及法規遵循制度，除附表所列事項外，均能確實有效執行。

On behalf of Metropolitan Bank & Trust Company Taiwan Branch, we hereby certify that from January 1 to December 31, 2019, the Branch has duly complied with the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries", and the Comparison Table of "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries" for Foreign Bank Branches in establishing the internal control system and implementing risk management procedures. The Branch has been audited by independent auditors who submit reports to the Board of Directors and Audit Committee. After prudent evaluation, each department of the Branch has implemented effective internal control and compliance systems during the year to which this statement relates.

謹 致

金融監督管理委員會

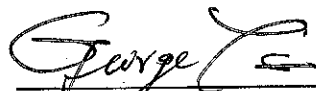
The Statement is submitted to the Financial Supervisory Commission.

聲明人

Statement by

指定之在臺分行負責人：

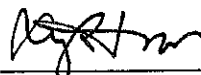
Designated Responsible Person of Taiwan branch(es)



George Tsai, General Manager

總稽核或負責臺灣區稽核業務之主管：

Auditor in charge of auditing on Taiwan branch(es)



Leo R. Fragante, Head, Internal Audit Group

臺灣區遵守法令主管：

Head of Compliance in Taiwan



Cynthia Lien, Compliance Officer

中 華 民 國 109 年 3 月 23 日

菲商菲律賓首都銀行在臺分行內部控制制度應加強事項及改善計畫

Metropolitan Bank & Trust Company Taiwan branch(es)

Enhancement Items and Improvement Plan for Internal Control System

(基準日 Base date : 108 年 12 月 31 日)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預 定 完 成 改 善 時 間 Planned Completion Date
None		